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On February 8, 2006

TOWNSEND and TOWNSEND and CREW LLP

By:   
Aaron Hokamura

PATENT

Attorney Docket No.: 082368-004000US

Client Reference No.: E1-A0203P-US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yasuko NAKAGAWA et al.

Application No.: 10/532,264

Int'l Application No.: PCT/JP03/13420

Int'l Filing Date: October 21, 2003

For: GENE SPECIFICALLY  
EXPRESSED IN POSTMITOTIC  
DOPAMINERGIC NEURON  
PRECURSOR CELLS

Examiner:

Art Unit:

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of references AB through AF are enclosed. Reference AA is not enclosed in compliance with 37 CFR §1.98(a)(2). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

The references were cited on a Supplementary European Search Report in a corresponding application. A copy of the Search Report is enclosed.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Kevin Basran  
Reg. No. 34,774

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Substitute for form 1449A&B/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	10/532,264
Sheet	1	of	1	Int'l Filing Date	October 21, 2003
				First Named Inventor	Nakagawa, Yasuko
				Art Unit	
				Examiner Name	
				Attorney Docket Number	082368-004000US

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> ( <i>If known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US 2002/0155423	10-24-2002	Okano et al.	

<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup>	Number <sup>4</sup>				
	AB	WO	01/98360	A2/A3	12-27-2001	Biogen Inc.	<input type="checkbox"/>
							<input type="checkbox"/>

<b>NON PATENT LITERATURE DOCUMENTS</b>						
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>
	AC	BJÖRKlund, Lars M. <i>et al.</i> ; "Embryonic stem cells develop into functional dopaminergic neurons after transplantation in a Parkinson rat model"; <i>PNAS</i> ; February 19, 2002; pp. 2344-2349; Vol. 99, No. 4.				<input type="checkbox"/>
	AD	BJÖRKlund, Anders <i>et al.</i> ; "Neural transplantation for the treatment of Parkinson's disease"; <i>The Lancet: Neurology</i> ; July 2003; pp. 437-445; Vol 2.				<input type="checkbox"/>
	AE	KIM, Tai Eun <i>et al.</i> ; "Cloning and cell type-specific regulation of the human tyrosine hydroxylase gene promoter"; <i>Biochemical and Biophysical Research Communications</i> ; 2003; pp. 1123-1131; Vol. 312.				<input type="checkbox"/>
	AF	YAN, Jun <i>et al.</i> ; "Ascorbic acid increases the yield of dopaminergic neurons derived from basic fibroblast growth factor expanded mesencephalic precursors"; <i>Journal of Neurochemistry</i> ; 2001; pp. 307-311; Vol. 76.				<input type="checkbox"/>

Examiner Signature		Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



IAP3 Rec'd PCT/PTO 10 FEB 2006

PCT

PTO/SB/21 (09-04)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/532,264
Int'l Filing Date	October 21, 2003
First Named Inventor	Nakagawa, Yasuko
Art Unit	
Examiner Name	

Attorney Docket Number 082368-004000US

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Return Postcard; and
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement w/PTO/SB/08	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Cited References AB - AF
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
	<b>Remarks</b>	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Kevin Bastian		
Date	February 8, 2006	Reg. No.	34,774

### CERTIFICATE OF TRANSMISSION/MAILING

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Signature			
Typed or printed name	Aaron Hokamura	Date	February 8, 2006